

**APPENDIX 1**  
**FINDINGS AND OBSERVATIONS**  
**IMO MEMBER STATE AUDIT SCHEME**  
**Form A**

<b>FINDINGS/OBSERVATIONS NOTICE</b>	
<b>Member State: Bangladesh</b>	<b>Audit period: 13 to 22 October 2017</b>
<b>Department: MOS, DOS, MMO</b>	
<b>Finding No.: FD-1</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The transposition of the IMO mandatory instruments into national legislation was made by incorporation by reference; however, this did not provide effective monitoring of the amendments coming into force internationally. In addition, referencing to IMO instruments did not ensure that the texts of the mandatory instruments are available for the maritime sector and the stakeholders. In addition there were not sufficient personnel with maritime expertise to assist in the promulgation of the necessary national laws and to discharge all the responsibilities of the State.</p> <p><b>EVIDENCE:</b></p> <p>Non availability of text of amendments. Statements during interviews. Non availability of texts of instruments and national legislation for the shipping community.</p> <p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, article I</b></p> <p>"General obligations under the Convention"</p> <p><b>MARPOL, article 1</b></p> <p>"General obligations under the Convention 9to be read as : article 1 of MARPOL 73 and article 1 of MARPOL Protocol 78)"</p> <p><b>STCW 1978, article I</b></p> <p>"General obligations under the Convention"</p>	

**LL 1966, article 1**

"General obligation under the Convention"

**TONNAGE 1969, article 1**

"General obligation under the Convention"

**COLREG 1972, article I**

"General obligations"

**III Code, paragraph 4**

"General - Under the general provisions of treaty law and of IMO conventions, States shall be responsible for promulgating laws and regulations and for taking all other steps which may be necessary to give those instruments full and complete effect so as to ensure safety of life at sea and protection of the marine environment"

**III Code, paragraph 8**

"Initial actions - When a new or amended instrument of the Organization enters into force for a State, the Government of that State should be in a position to implement and enforce its provisions through appropriate national legislation and to provide the necessary implementation and enforcement infrastructure. This means that the Government of the State shall have [...]"

**Team leader: Huseyin Cahit Yalcin**

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<b>Department: MOS, DOS, MMO</b>	
<b>Finding No.: FD-2</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>There was no objective evidence that the State complied with all the requirements for reporting as required by the mandatory IMO instruments to which the State is a Party (e.g. information on text of laws, orders, decrees and regulations; details of recognized organizations (ROs); provision of reception facilities). Besides, there was not a mechanism in place, including policies, to assign responsibilities and issue instructions, as necessary, to ensure collection of relevant information and communication of mandatory reports to IMO</p> <p><b>EVIDENCE:</b></p> <p>Non availability of methodology. Several reports were not made.</p> <p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, article III</b></p> <p>"Communication of information"</p> <p><b>MARPOL, article 11</b></p> <p>"Communication of information"</p> <p><b>STCW 1978, article IV</b></p> <p>"Communication of information"</p> <p><b>TONNAGE 1969, article 15</b></p> <p>"Communication of information"</p> <p><b>LL 1966, article 26</b></p> <p>"Communication of information"</p> <p><b>III Code, paragraph 9</b></p> <p>"Communication of information - The State shall communicate its strategy, as referred to in paragraph 3, including information on its national legislation to all concerned"</p>	
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<b>Department: MOS, DOS, MMO</b>	
<b>Finding No.: FD-3</b>	<b>Observation No.:</b>
<b>STATEMENT:</b>  Although there was a general governmental instruction dealing with the records of the State; there was no record keeping system based on a documented procedure which defines the controls needed for the identification, storage, protection, retrieval, retention time and disposition of records	
<b>EVIDENCE:</b>  Non availability of evidence of a record keeping procedure in place	
<b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b>  III Code, paragraph 10  "Records - Records, as appropriate, shall be established and maintained to provide evidence of conformity to requirements and of the effective operation of the State. Records shall remain legible, readily identifiable and retrievable. A documented procedure shall be established to define the controls needed for the identification, storage, protection, retrieval, retention time and disposition of records"	
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<b>Department: MOS, DOS, MMO</b>	
<b>Finding No.: FD-4</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>There was no objective evidence to demonstrate that the State continually improves the adequacy of the measures which are taken to give effect to those conventions and protocols which is a Party to. Besides, measures to identify and eliminate the cause of non-conformities to prevent their recurrence had not been taken</p> <p><b>EVIDENCE:</b></p> <p>Non availability of related records or legislation</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 11</b></p> <p>"Improvement - States shall continually improve the adequacy of the measures which are taken to give effect to those conventions and protocols which they have accepted. Improvement shall be made through rigorous and effective application and enforcement of national legislation, as appropriate, and monitoring of compliance"</p> <p><b>III Code, paragraph 13</b></p> <p>"Improvement - Further, the State shall take action to identify and eliminate the cause of any non-conformities in order to prevent recurrence, including [...]"</p> <p><b>III Code, paragraph 14</b></p> <p>"Improvement - The State shall determine action needed to eliminate the causes of potential non conformities' in order to prevent their occurrence"</p>	
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<b>Finding No.: FD-5</b>	<b>Observation No.:</b>
<b>STATEMENT:</b>  The Administration did not implement policies for the promulgation of national legislation and guidance which would assist in the implementation and enforcement of the requirements of all safety and pollution prevention conventions and protocols to which the State is a Party.  <b>EVIDENCE:</b>  Absence of policies in this regard	
<b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b>  III Code, paragraph 15  "Implementation - In order to effectively discharge their responsibilities and obligations, flag States shall [...]"	
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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-6</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The Administration had not established resources and processes capable of administering a safety and environmental protection programme, which includes: Administrative instructions to effectively implement the international IMO instruments and their amendments to which the State is a Party; An independent audit and inspection programme to the entity which issue the required certificates and documentation to the ships entitled to fly the flag of the State, in order to ensure compliance with the requirements of the applicable international instruments; and Provisions to address those requirements that are left “to the satisfaction of the Administration” established in the relevant mandatory IMO instruments to which the State is a Party.</p> <p><b>EVIDENCE:</b></p> <p>Analysis of national legislation and no availability of detailed administrative instructions. Statements made during the interviews.</p> <p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 16.1</b></p> <p>"Administrative instructions to implement applicable international rules and regulations as well as developing and disseminating any interpretative national regulations that may be needed including certificates issued by a classification society, which is recognized by the flag State in accordance with the provisions of SOLAS regulation XI-1/1, and which certificate is required by the flag State to demonstrate compliance with structural, mechanical, electrical, and/or other requirements of an international convention to which the flag State is a party or compliance with a requirement of the flag State's national regulations"</p> <p><b>III Code, paragraph 16.2</b></p> <p>"Compliance with the requirements of the applicable international instruments, using an audit and inspection programme, independent of any administrative bodies issuing the required certificates and relevant documentation and/or of any entity which has been delegated authority by the State to issue the required certificates and relevant documentation"</p>	

**III Code, paragraph 16.5**

"The development, documentation and provision of guidance concerning those requirements found in the relevant international instruments that are to the satisfaction of the Administration"

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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-7</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The Administration had not set up any detailed national criteria for recognition and authorization of classification societies and there was no evidence to confirm that the ROs were provided with all the appropriate instruments of national legislation and interpretations, thereof giving effect to the provisions of the conventions to which the State is a Party. Furthermore, an oversight programme with adequately qualified resources has not been set up and implemented for monitoring for ROs in order to ensure that its international obligations were fully met.</p> <p><b>EVIDENCE:</b></p> <p>Non availability of objective evidence. Statements during interviews</p> <p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, regulation XI-1/1</b></p> <p>"Authorization of recognized organizations"</p> <p><b>RO Code, part 2, section 8</b></p> <p>"Authorization of RO"</p> <p><b>III Code, paragraph 18.1</b></p> <p>"Determine that the recognized organization has adequate resources in terms of technical, managerial and research capabilities to accomplish the tasks being assigned, in accordance with the required standards for recognized organizations acting on behalf of the Administration set out in the relevant instruments of the Organization"</p> <p><b>III Code, paragraph 20</b></p> <p>"Delegation of authority - The flag State shall establish or participate in an oversight programme with adequate resources for monitoring of, and communication with, its recognized organization(s) in order to ensure that its international obligations are fully met, by [...]"</p>	
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<b>Department: MOS, DOS, MMO</b>	
<b>Finding No.: FD-8</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>An effective enforcement structure to secure observance of international rules and standards by ships entitled to fly the flag of the State and by entities and persons under its jurisdiction was not completely in place: The fines were not sufficiently deterrent and the authorities were unable to prove the proper or consistent applications. Sufficient numbers of qualified flag State personnel were not available. A control and monitoring programme to provide for the collection of statistical data, prompt actions to carry out casualty investigation and timely response to deficiencies was not developed.</p> <p><b>EVIDENCE:</b></p> <p>Statements during the interviews. No examples of enforcement for the past three years.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 22.5</b></p> <p>"Providing, in national laws and regulations, for penalties of adequate severity to discourage violation of international rules and standards by ships entitled to fly its flag"</p> <p><b>III Code, paragraph 22.6</b></p> <p>"Instituting proceedings, after an investigation has been conducted, against ships entitled to fly its flag, which have violated international rules and standards, irrespective of where the violation has occurred"</p> <p><b>III Code, paragraph 23</b></p> <p>"Enforcement - A flag State shall develop and implement a control and monitoring programme, as appropriate, in order to [...]"</p>	
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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-9</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>Legal arrangements defining the responsibilities, authority and interrelationship of surveyors are not complete or well structured. Furthermore, the Administration had not implemented a documented system for the qualification and continuous updating of the knowledge of the flag State surveyors with regard to the task they are authorized to undertake.</p> <p><b>EVIDENCE:</b></p> <p>Lack of methodology for a training system. Analysis of available legislation.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 28</b></p> <p>"Flag State surveyors - The flag State shall define and document the responsibilities, authority and interrelation of all personnel who manage, perform and verify work relating to and affecting safety and pollution prevention"</p> <p><b>III Code, paragraph 35</b></p> <p>"Flag State surveyors - The flag State shall implement a documented system for qualification of personnel and continuous updating of their knowledge as appropriate to the tasks they are authorized to undertake"</p>	
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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-10</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The Administration did not implement the majority of the provisions of the Casualty Investigation Code including but not limited to: Insufficient regulations for conducting impartial and objective casualty investigations . Availability of trained and impartial casualty investigators to carry out objective investigations. No arrangements for cooperative investigation with other member States. Availability of the final investigation report to the public and the shipping industry.</p> <p><b>EVIDENCE:</b></p> <p>Statement during interviews. Analysis of available legislation.</p> <p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, regulation I/21</b></p> <p>"Casualties"</p> <p><b>SOLAS 1974, regulation XI-1/6</b></p> <p>"Investigations of marine casualties and incidents"</p> <p><b>Casualty Investigation Code, paragraph 6.2</b></p> <p>"Investigation into a very serious marine casualty"</p> <p><b>Casualty Investigation Code, paragraph 7.1</b></p> <p>"Agreement to conduct a marine safety investigation"</p> <p><b>Casualty Investigation Code, paragraph 7.2</b></p> <p>"Agreement to conduct a marine safety investigation"</p> <p><b>Casualty Investigation Code, paragraph 8.1</b></p> <p>"Powers provided to investigator(s)"</p> <p><b>Casualty Investigation Code, paragraph 10.1</b></p> <p>"Cooperation in investigating"</p>	

**Casualty Investigation Code, paragraph 11.1**

"Investigation not to be subject to external direction"

**Casualty Investigation Code, paragraph 14.1**

"Marine safety investigation reports – communication to IMO"

**Casualty Investigation Code, paragraph 14.2**

"Marine safety investigation reports – communication to IMO"

**Casualty Investigation Code, paragraph 14.4**

"Marine safety investigation reports – available to public and shipping industry"

**III Code, paragraph 38**

"Flag State investigations - Marine safety investigations shall be conducted by impartial and objective investigators, who are suitably qualified and knowledgeable in matters relating to the casualty. Subject to any agreement on which State or States will be the marine safety investigating State(s), the flag State shall provide qualified investigators for this purpose, irrespective of the location of the casualty or incident"

**III Code, paragraph 41**

"Flag State investigations - Ship casualties shall be investigated and reported in accordance with the relevant international instruments, taking into account the Casualty Investigation Code, as may be amended, and guidelines developed by the Organization"

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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-11</b>	<b>Observation No.:</b>
<b>STATEMENT:</b>  There was no system in place for the Administration to periodically evaluate its performance in respect of administrative processes, procedures and resources necessary to meet its obligation and responsibilities under the mandatory IMO instruments as a flag State	
<b>EVIDENCE:</b>  Analysis of documentation. Statements of interviews.	
<b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b>	
<b>III Code, paragraph 42</b>  "Evaluation and review - A flag State shall, on a periodic basis, evaluate its performance with respect to the implementation of administrative processes, procedures and resources necessary to meet its obligations as required by the international instruments to which it is a party"	
<b>III Code, paragraph 43</b>  "Evaluation and review - Measures to evaluate the performance of flag States shall include, inter alia, port State control detention rates, flag State inspection results, casualty statistics, communication and information processes, annual loss statistics (excluding constructive total losses (CTLs)) and other performance indicators as may be appropriate, in order to determine whether staffing, resources and administrative procedures are adequate to meet its flag State obligations"	
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<b>Department: DOS, BN, BCG</b>	
<b>Finding No.: FD-12</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The SAR regulations for details of the implementation were not available and national SAR plan was not approved or communicated to IMO.</p> <p><b>EVIDENCE:</b></p> <p>Statements during interviews</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, regulation V/7.1</b></p> <p>"Search and rescue services – necessary arrangements"</p> <p><b>SOLAS 1974, regulation V/7.2</b></p> <p>"Search and rescue services – Plan for cooperation"</p> <p><b>III Code, paragraph 47</b></p> <p>"Implementation - A coastal State shall ensure that its legislation, guidance and procedures are established for the consistent implementation and verification of its rights, obligations and responsibilities contained in the relevant international instruments to which it is a party"</p>	
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<b>Member State: Bangladesh</b>	<b>Audit period: 13 to 22 October 2017</b>
<b>Department: DOS, MMO, BN, BCG, Port Authorities, BND</b>	
<b>Finding No.: FD-13</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The State had not implemented a system of periodic performance evaluation of its activities as a coastal State for the purpose of verifying compliance with its obligations under the IMO international instruments of which it is a Party.</p> <p><b>EVIDENCE:</b></p> <p>Analysis of documentation. Non availability of records.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 51</b></p> <p>"Evaluation and review - A coastal State shall periodically evaluate its performance in respect of exercising its rights and meeting its obligations under the applicable international instruments"</p>	
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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-14</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>An effective port State control system with legislation, assignment of responsibilities, policies, guidance, procedures was not established for the consistent implementation and verification of PSC provisions in the international instruments to which the State is a Party.</p> <p><b>EVIDENCE:</b></p> <p>Analysis of documentation and records. Verbal statements during interviews.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 55</b></p> <p>"Implementation - A port State shall ensure that its legislation, guidance and procedures are established for the consistent implementation and verification of its rights, obligations and responsibilities contained in the relevant international instruments"</p>	
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<b>Department: Port Authorities, DOS, MMO, DEO</b>	
<b>Finding No.: FD-15</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>Although the State is Party to all MARPOL annexes, its ports were found not to be equipped with the appropriate reception facilities or equivalent arrangements for the reception of Annexes II, IV and VI. Besides, regulatory structure was not fully established and the requirements for communication of the relevant information to IMO was not fulfilled. (MARPOL, annex II, regulation 18.1; MARPOL, annex V, regulation 8.1; III Code, paragraph 56.1).</p> <p><b>EVIDENCE:</b></p> <p>Statements during interviews. Checking of documentation.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>MARPOL, Annex II, regulation 18.1</b></p> <p>"Reception facilities and cargo unloading terminal arrangements"</p> <p><b>MARPOL, Annex IV, regulation 12.1</b></p> <p>"Provision of reception facilities"</p> <p><b>MARPOL, Annex VI, regulation 17.2</b></p> <p>"Reception facilities as referred to in the paragraph – communication to IMO"</p> <p><b>III Code, paragraph 56.1</b></p> <p>"Provision of appropriate reception facilities or capability to accept all waste streams regulated under the instruments of the Organization"</p>	
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<b>Department: DOS, MMO, Port Authorities</b>	
<b>Finding No.: FD-16</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The maritime administration has not implemented the IMDG Code, the IMSBC Code and related amendments. The dangerous goods handling practices in ports were found not adequately regulated, controlled or supervised by the competent authorities.</p> <p><b>EVIDENCE:</b></p> <p>Statements of interviews. Checking of documentation.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>SOLAS 1974, regulation VII/3</b></p> <p>"Requirements for the carriage of dangerous goods"</p> <p><b>SOLAS 1974, regulation VII/7-5</b></p> <p>"Requirements for the carriage of dangerous goods in solid form in bulk"</p> <p><b>IMDG Code, section 1.3.1</b></p> <p>"Training of shore-side personnel – establishment of the period of time for keeping records of training"</p> <p><b>III Code, paragraph 57</b></p> <p>"Enforcement - Port States shall take all necessary measures to ensure their observance of international rules when exercising their rights and fulfilling their obligations"</p>	
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<b>Department: DOS, MMO</b>	
<b>Finding No.: FD-17</b>	<b>Observation No.:</b>
<p><b>STATEMENT:</b></p> <p>The principle of “No More Favourable Treatment” was not officially considered by the authorities. Furthermore, the port State control programme of the State was not fully consistent with the relevant resolution adopted by the IMO. A proper qualifications and training programme for the PSCOs was not in place.</p> <p><b>EVIDENCE:</b></p> <p>Checking of documentation. Verbal statements.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 59</b></p> <p>"Enforcement - Also, a number of those instruments obligate port States to treat non-parties to those conventions no more favourably than those that are parties. This means that port States shall impose the conditions of those instruments on parties, as well as on non-parties"</p> <p><b>III Code, paragraph 60</b></p> <p>"Enforcement - When exercising its right to carry out port State control, a port State shall establish processes to administer a port State control programme consistent with the relevant resolution adopted by the Organization"</p> <p><b>III Code, paragraph 61</b></p> <p>"Enforcement - Port State control shall be carried out only by authorized and qualified port State control officers in accordance with the relevant procedures adopted by the Organization"</p>	
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<b>Finding No.: FD-18</b>	<b>Observation No.:</b>
<b>STATEMENT:</b>  The State had not implemented a system of periodic performance evaluation of its activities as port State for the purpose of verifying compliance with its obligations under the IMO international instruments to which it is a Party.	
<b>EVIDENCE:</b>  Checking of documentation and records. Verbal statements.	
<b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b>  III Code, paragraph 63  "Evaluation and review - A port State shall periodically evaluate its performance in respect of exercising its rights and meeting its obligations under the applicable instruments of the Organization"	
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<b>FINDINGS/OBSERVATIONS NOTICE</b>	
<b>Member State: Bangladesh</b>	<b>Audit period: 13 to 22 October 2017</b>
<b>Department: MOS, DOS</b>	
<b>Finding No.:</b>	<b>Observation No.: OB-1</b>
<p><b>STATEMENT:</b></p> <p>The State had recently developed and adopted an overall policy document; however, it was not comprehensive enough to provide a basis for the State to fully meet its obligations and responsibilities under the applicable mandatory IMO instruments. Besides, a methodology to monitor and assess that the strategy ensures the effective implementation and enforcement of the applicable mandatory instruments, as well as a mechanism for continuous review in order to ensure improvement of the overall organizational performance and capability as a flag, port and coastal State had not been established</p> <p><b>EVIDENCE:</b></p> <p>Analysis of the submitted policy document.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p>III Code, paragraph 3</p> <p>"Strategy - In order to meet the objective of this Code, a State is recommended to [...]"</p>	
<b>Team leader: Huseyin Cahit Yalcin</b>	<b>Date: 20 October 2017</b>
<b>Member State: Bangladesh</b>	<b>Date received:</b>

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<b>FINDINGS/OBSERVATIONS NOTICE</b>	
<b>Member State: Bangladesh</b>	<b>Audit period: 13 to 22 October 2017</b>
<b>Department: DOS, MMO</b>	
<b>Finding No.:</b>	<b>Observation No.: OB-2</b>
<p><b>STATEMENT:</b></p> <p>The Administration could not guarantee that the investigators who conducted investigations of marine casualties were suitably qualified and knowledgeable in matters relating to casualty. Besides, the scope of the investigations was not clearly specified</p> <p><b>EVIDENCE:</b></p> <p>Statements during interview. Checking of documentation.</p>	
<p><b>APPLICABLE PROVISIONS OF THE AUDIT STANDARD AND/OR IMO INSTRUMENT:</b></p> <p><b>III Code, paragraph 39</b></p> <p>"Flag State investigations - The flag State is recommended to ensure that individual investigators have working knowledge and practical experience in those subject areas pertaining to their normal duties. Additionally, in order to assist individual investigators in performing duties outside their normal assignments, the flag State is recommended to ensure ready access to expertise in the following areas, as necessary [...]"</p> <p><b>III Code, paragraph 40</b></p> <p>"Flag State investigations - It is recommended that any accident involving personal injury necessitating absence from duty of three days or more and any deaths resulting from occupational accidents and casualties to ships of the flag State shall be investigated, and the results of such investigations made public"</p>	
<b>Team leader: Huseyin Cahit Yalcin</b>	<b>Date: 20 October 2017</b>
<b>Member State: Bangladesh</b>	<b>Date received:</b>